

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Karl RODEMER et al. :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed March 25, 2004 : Attorney Docket No. 2004-0479
LUBRICATION DEVICE :
(Rule 1.53(b) Divisional
of Serial No. 09/950,080,
Filed September 12, 2001) **THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. 09/950,080.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

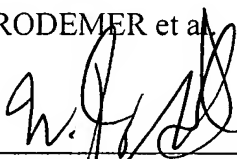
3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page ,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.
6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure statement.

Respectfully submitted,

Karl RODEMER et al.

By 

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March 25, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: March 25, 2004

ATTY DOCKET NO.
2004-0479SERIAL NO.
NEWAPPLICANT
Karl RODEMER et al.FILING DATE
March 25, 2004

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,337,884	08/1994	Hoffman et al.	198	500	
	AB	6,302,263	10/2001	Bennett et al.	198	495	
	AC	3,503,470	03/1970	Lister	184	55.1	
	AD	4,064,970	12/1977	Reeves	184	15.3	
	AE	5,873,946	02/1999	Hantmann	134	15	
	AF	4,262,776	04/1981	Wilson et al.	184	15.1	
	AG	6,419,078	07/2002	Leathers	198	500	
	AH	4,537,285	08/1985	Brown et al.	184	15.2	
	AI	5,129,481	07/1992	King	184	15.3	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	94/09308	4/1994	WO			Abstract	
	AK	2 700 200 A1	7/1994	FR			Abstract	
	AL	199 02 342 A1	07/2000	DE			partial	
	AM	710 004	09/1941	DE			partial	
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,599,753	08/1971	Walsh	184	15.2	
	AB	5,289,899	03/1994	King	184	12	
	AC	4,760,925	08/1988	Stehle et al.	209	616	
	AD	5,695,043	12/1997	Maezuru et al.	198	689.1	
	AE	4,150,611	04/1979	Bellini	99	548	
	AF	5,147,028	09/1992	Raggi	198	495	
	AG	4,934,510	06/1990	Lutgendorf	198	461.3	
	AH	4,674,622	06/1987	Utsunomiya et al.	198	500	
	AI	4,095,674	06/1978	Kido et al.	184	6.1	

FOREIGN PATENT DOCUMENTS

	AJ						
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.